

The Euler class for Riemannian flows

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Abstract. Let \mathcal{F} be a Riemannian flow on a closed manifold M . The Gysin sequence relating the basic cohomology of \mathcal{F} and the de Rham cohomology of M has been constructed for isometric flows in [6]. In this paper, we extend it to the case of Riemannian flows. We also give a geometric characterization of the vanishing of the Euler class similar to the one given in [9]. © 2001 Académie des sciences/Éditions scientifiques et médicales Elsevier SAS

La classe d'Euler pour les flots riemanniens

Résumé. Soit \mathcal{F} un flot riemannien sur une variété fermée M . La suite de Gysin, qui relie la cohomologie basique de \mathcal{F} et la cohomologie de de Rham de M , est construite pour les flots isométriques dans [6]. Dans cette Note, on l'étend au cas des flots riemanniens. On donne une caractérisation géométrique de la nullité de la classe d'Euler, semblable à celle donnée dans [9]. © 2001 Académie des sciences/Éditions scientifiques et médicales Elsevier SAS

Version française abrégée

Soit M une variété lisse fermée. Une action de \mathbb{R} sans points fixes définit un feuilletage \mathcal{F} de dimension 1. Nous notons $\Omega^*(M/\mathcal{F})$ le complexe des formes basiques et $H^*(M/\mathcal{F})$ sa cohomologie. Nous sommes intéressés dans la relation entre la cohomologie de de Rham de M et la cohomologie basique de \mathcal{F} . Quand l'action est périodique nous avons en fait une action du cercle \mathbb{S}^1 , et cette relation est décrite par la *suite de Gysin* classique. La suite de Gysin a été construite dans [6] pour des flots isométriques, c'est-à-dire des actions de \mathbb{R} qui préservent une métrique riemannienne μ de M . La suite de Gysin obtenue est :

$$\cdots \longrightarrow H^i(M/\mathcal{F}) \longrightarrow H^i(M) \longrightarrow H^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[d\chi]} H^{i+1}(M/\mathcal{F}) \longrightarrow \cdots,$$

où le morphisme de connexion est la multiplication par la classe d'Euler $[d\chi] \in H^2(M/\mathcal{F})$, avec χ la forme caractéristique du flot. Le fait que l'adhérence de \mathbb{R} dans le groupe de difféomorphismes de M est compact est crucial dans la démonstration.

Dans cette Note, nous étendons ce résultat au cas des flots riemanniens. Un flot est riemannien quand il existe sur M une métrique riemannienne μ dont la composante orthogonale est invariante par le flot.

Note présentée par Étienne GHYS.

Une telle métrique est dite *quasi-fibrée*. Nous avons deux différences importantes par rapport au cas isométrique : d'une part, la forme $d\chi$ n'est pas toujours basique, et d'autre part, l'adhérence de \mathbb{R} dans le groupe de difféomorphismes de M n'est pas forcément compacte. C'est pour cela que nous utiliserons une approche différente, en utilisant fortement la géométrie locale de \mathcal{F} , décrite par Carrière en [4].

Comme indiqué, nous avons la décomposition $d\chi = \epsilon + \chi \wedge \kappa$, où ϵ est la *forme d'Euler* et κ la forme de courbure moyenne. Domínguez a prouvé dans [5] qu'il existe toujours une métrique riemannienne μ telle que κ soit basique. Dans ces conditions, κ est fermée, et la classe $[\kappa] \in H^1(M/\mathcal{F})$ ne dépend pas de la métrique μ choisie (voir [2]). La cohomologie basique est la cohomologie duale de la cohomologie tordue $H_{\kappa}^*(M/\mathcal{F})$, qui est définie à partir du complexe basique muni de la différentielle $d_{\kappa}\omega = d\omega - \kappa \wedge \omega$ (voir [7]). Nous utilisons aussi la différentielle $d_{-\kappa}\omega = d\omega + \kappa \wedge \omega$. La forme d'Euler est basique, et vérifie $d_{-\kappa}\epsilon = 0$.

En utilisant la description de la structure locale décrite dans la proposition 1 et un argument à la Mayer-Vietoris (voir [3], p. 289), nous prouvons le quasi-isomorphisme :

$$(\Omega^*(M), d) \simeq (\Omega^*(M/\mathcal{F}) \oplus \Omega^{*-1}(M/\mathcal{F}), D),$$

où la différentielle D est donnée par la formule $D(\alpha, \beta) = (d\alpha + \epsilon \wedge \beta, -d\beta + \kappa \wedge \beta)$. À partir de ce quasi-isomorphisme, nous trouvons directement la suite de Gysin.

THÉORÈME 1 (Suite de Gysin). – *Soit \mathcal{F} un flot riemannien sur une variété lisse fermée M , et est μ une métrique quasi-fibrée dont la courbure moyenne κ soit basique. Alors, nous avons la suite exacte longue :*

$$\dots \longrightarrow H^i(M/\mathcal{F}) \longrightarrow H^i(M) \longrightarrow H_{\kappa}^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[\epsilon]} H^{i+1}(M/\mathcal{F}) \longrightarrow \dots,$$

où le morphisme de connexion est, au signe près, la multiplication par la classe d'Euler $[\epsilon] \in H_{-\kappa}^2(M/\mathcal{F})$.

La suite de Gysin obtenue ne dépend pas de la métrique choisie.

La forme d'Euler ϵ s'annule si et seulement si le supplémentaire orthogonal de \mathcal{F} est intégrable. Ces conditions ont été affaiblies dans [9] pour un flot isométrique. Dans ce cas, la classe d'Euler $[d\chi] \in H^2(M/\mathcal{F})$ est un invariant du feuilletage, et sa nullité équivaut à l'existence d'une fibration transverse à \mathcal{F} . Pour un flot riemannien, nous prouvons que la classe d'Euler $[\epsilon] \in H_{-\kappa}^2(M/\mathcal{F})$ est indépendante de la métrique, dans le sens de la proposition 3. En particulier, la nullité de la classe d'Euler est un invariant du feuilletage, et cette nullité est équivalente à l'existence d'un feuilletage transverse \mathcal{G} avec une condition supplémentaire. Nous dirons que la torsion d'un feuilletage \mathcal{G} transverse à \mathcal{F} , relativement à un champ non singulier X définissant \mathcal{F} , est basique si $L_X L_X \omega = 0$, où ω est la 1-forme qui définit \mathcal{G} normalisée par $\omega(X) = 1$. Le théorème qui caractérise la nullité de la classe d'Euler est :

THÉORÈME 2. – *Pour un flot riemannien \mathcal{F} sur une variété lisse M , les deux conditions suivantes sont équivalentes :*

- (i) *la classe d'Euler s'annule ;*
- (ii) *il existe un feuilletage \mathcal{G} transverse à \mathcal{F} dont la torsion est basique.*

1. Introduction

Let M be a closed $(n + 1)$ -manifold. An action $\Psi : \mathbb{R} \times M \rightarrow M$ without fixed points defines a 1-dimensional foliation \mathcal{F} . We are interested in the relation between the de Rham cohomology of M and the basic cohomology of \mathcal{F} , which is the natural cohomology for the study of the orbit space of Ψ . When the action is periodic, we have indeed a circle action, and this relation is described by the classical

Gysin sequence. In [6], the Gysin sequence has also been constructed for an *isometric flow*, i.e., an action $\Psi : \mathbb{R} \times (M, \mu) \rightarrow (M, \mu)$ preserving a Riemannian metric μ on M . We denote by $\Omega^*(M/\mathcal{F})$ the complex of *basic forms*, and by $H^*(M/\mathcal{F})$ its cohomology (*basic cohomology*). The Gysin sequence we have is:

$$\dots \longrightarrow H^i(M/\mathcal{F}) \longrightarrow H^i(M) \longrightarrow H^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[d\chi]} H^{i+1}(M/\mathcal{F}) \longrightarrow \dots, \quad (1)$$

where the connecting morphism is multiplication by the Euler class $[d\chi] \in H^2(M/\mathcal{F})$, being χ the characteristic form of the flow. The tool used to get the Gysin sequence is the quasi-isomorphism (i.e., an isomorphism in cohomology):

$$(\Omega^*(M), d) \simeq (\Omega^*(M/\mathcal{F}) \oplus \Omega^{*-1}(M/\mathcal{F}), D), \quad (2)$$

where d is the exterior differential and D is the twisted differential $D(\alpha, \beta) = (d\alpha + d\chi \wedge \beta, -d\beta)$. It is crucial that the closure of the 1-parameter group $\{\Psi_t\}_t$ in the group of diffeomorphisms of M is compact.

In this paper we extend the scope of the Gysin sequence to Riemannian flows. A flow \mathcal{F} on M is *Riemannian* if there exists a Riemannian metric μ whose orthogonal component is invariant by \mathcal{F} . Such a metric is said to be *bundle-like*. Note that when \mathcal{F} is isometric, the entire metric must be invariant. The case of a Riemannian flow has two important differences with the isometric one. In one hand, $d\chi$ is basic for an isometric flow, but in the Riemannian case, we have a decomposition $d\chi = \epsilon + \chi \wedge \kappa$, where ϵ and κ are respectively the Euler form and the mean curvature form of the flow, which can be supposed to be basic for a suitable bundle-like metric μ (see [5]). The second main difference is that in the Riemannian case, the closure of $\{\Psi_t\}_t$ is no longer necessarily compact. So, we need a different approach to get a decomposition like (2). The Gysin sequence we obtain is:

$$\dots \longrightarrow H^i(M/\mathcal{F}) \longrightarrow H^i(M) \longrightarrow H_{\kappa}^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[\epsilon]} H^{i+1}(M/\mathcal{F}) \longrightarrow \dots,$$

where $H_{\kappa}^*(M/\mathcal{F})$ denotes the κ -twisted cohomology, and the connecting morphism $\wedge[\epsilon]$ is, up to sign, multiplication by the Euler class $[\epsilon] \in H_{-\kappa}^2(M/\mathcal{F})$. The Gysin sequence above is derived canonically from the quasi-isomorphism:

$$(\Omega^*(M), d) \simeq (\Omega^*(M/\mathcal{F}) \oplus \Omega^{*-1}(M/\mathcal{F}), D),$$

where the differential D is defined by the formula $D(\alpha, \beta) = (d\alpha + \epsilon \wedge \beta, -d\beta + \kappa \wedge \beta)$. To get this result, we use strongly the local structure of \mathcal{F} . Finally, we give a geometric characterization of the vanishing of the Euler class similar to the one given in [9] in the isometric case. The vanishing of the Euler class is equivalent to the presence of a foliation transverse to the flow, with an additional condition, stated in Theorem 2.

2. Riemannian flows and local structure

Recall that a flow is a tangentially oriented 1-dimensional foliation \mathcal{F} . The flow \mathcal{F} is *Riemannian* if there exists a holonomy invariant metric μ . We can choose a nonsingular smooth vector field X defining \mathcal{F} and satisfying $\mu(X, X) = 1$. The *characteristic form* is the 1-form $\chi = i_X \mu$, where i_X denotes the contraction by X . The *mean curvature form* is the 1-form defined by $\kappa = L_X \chi$, where L_X denotes the Lie derivative respect to X . This two forms depend on the flow \mathcal{F} and the metric μ . A form $\omega \in \Omega^*(M)$ is said to be *basic* if for every vector field V tangent to \mathcal{F} , we have $i_V \omega = i_V d\omega = 0$. We denote by $\Omega^*(M/\mathcal{F})$ the complex of the basic forms, and its cohomology by $H^*(M/\mathcal{F})$.

It has been shown in [5] that for a Riemannian flow \mathcal{F} , we can choose a bundle-like metric μ such that its mean curvature form κ is basic. In these conditions, κ is closed, and the class $[\kappa] \in H^1(M/\mathcal{F})$ is an

invariant of the foliation that we shall call the *Álvarez class* (see [2]). We have the decomposition

$$d\chi = \epsilon + \chi \wedge \kappa.$$

The *Euler form* ϵ thus defined, is basic and satisfies $d\epsilon = -\epsilon \wedge \kappa$.

Let's denote by $H_{\kappa}^*(M/\mathcal{F})$ the κ -twisted cohomology, which is the cohomology of the complex of basic forms endowed with the differential $d_{\kappa}\omega = \omega - \kappa \wedge \omega$. This cohomology depends only on the basic class of κ . The basic cohomology does not satisfy Poincaré duality for an arbitrary foliation, but for a Riemannian flow, it is dual to the κ -twisted cohomology, i.e., $H^i(M/\mathcal{F}) \cong H_{\kappa}^{n-i}(M/\mathcal{F})$ for every $i \geq 0$ (see [7]). We also define the $(-\kappa)$ -twisted differential by $d_{-\kappa}\omega = d\omega + \kappa \wedge \omega$.

The local structure of \mathcal{F} has been described in [4]. If we denote by L a leaf of \mathcal{F} , we have:

PROPOSITION 1 (Carrière). – *There exists a \mathcal{F} -saturated neighbourhood $U \subseteq M$ of \bar{L} such that:*

- (i) *there is a diffeomorphism $U \rightarrow \mathbb{S}^1 \times \mathbb{T}^k \times \mathbb{D}^{n-k}$ mapping \bar{L} onto $\mathbb{S}^1 \times \mathbb{T}^k \times \{0\}$;*
- (ii) *the flow \mathcal{F} restricted to U is conjugated to the flow obtained by the suspension of a diffeomorphism γ of $\mathbb{T}^k \times \mathbb{D}^{n-k}$ given by the formula $\gamma(x, y) = (T(x), R(y))$, where T is an irrational translation of \mathbb{T}^k and R is a rotation of \mathbb{R}^{n-k} .*

We shall refer to such a neighbourhood as a *Carrière neighbourhood*.

3. Gysin sequence

The *short Gysin sequence* is the following sequence of differential complexes:

$$0 \longrightarrow \Omega^*(M/\mathcal{F}) \xrightarrow{\iota} \Omega^*(M) \xrightarrow{\rho} \Omega^*(M)/\Omega^*(M/\mathcal{F}) \longrightarrow 0, \quad (3)$$

where ρ is the projection induced by the inclusion ι . The cohomology of $\Omega^*(M)/\Omega^*(M/\mathcal{F})$ is called the \mathcal{F} -relative de Rham cohomology, and some of its properties have been studied in [8]. Our aim is to describe it in terms of basic forms. To achieve this, we use the following:

PROPOSITION 2. – *In the above conditions, there is a quasi-isomorphism:*

$$\begin{aligned} \Phi : (\Omega^*(M/\mathcal{F}) \oplus \Omega^*(M/\mathcal{F}), D) &\longrightarrow (\Omega^*(M), d), \\ (\alpha, \beta) &\longmapsto \alpha + \chi \wedge \beta, \end{aligned} \quad (4)$$

where the differential D is defined by $D(\alpha, \beta) = (d\alpha + \epsilon \wedge \beta, -d\beta + \kappa \wedge \beta)$.

Proof. – Consider on M the foliation $\bar{\mathcal{F}}$ defined by the closures of the leaves of \mathcal{F} . Applying a Mayer-Vietoris argument ([3], p. 289) to the leaf space $M/\bar{\mathcal{F}}$, which is a stratified manifold, and using induction on its depth, it suffices to prove the theorem for the foliation \mathcal{F}_U induced on an arbitrary Carrière neighbourhood U . Let's denote by $\Phi_U, D_U, \mu_U, \kappa_U$ and ϵ_U the correspondent restrictions of Φ, D, μ, κ and ϵ . Since with the flat metric on U the mean curvature form vanishes, there exists a basic function f such that $\kappa = df$. With the change of metric $\mu'_U = e^{2f}\mu_U$, we have $X' = e^{-f}X, \chi' = e^f\chi, \kappa'_U = 0$ and $\epsilon'_U = e^f\epsilon_U$. We construct the following differential applications:

$$(\Omega^*(U/\mathcal{F}_U) \oplus \Omega^{*-1}(U/\mathcal{F}_U), D_U) \xrightarrow{\epsilon} (\Omega^*(U/\mathcal{F}_U) \oplus \Omega^{*-1}(U/\mathcal{F}_U), D'_U) \xrightarrow{\Phi'_U} (\Omega^*(U), d),$$

where $\epsilon(\alpha, \beta) = (\alpha, e^{-f}\beta), D'_U(\alpha, \beta) = (d\alpha + \epsilon'_U \wedge \beta, -d\beta)$ and $\Phi'_U(\alpha, \beta) = \alpha + \chi' \wedge \beta$. Note that X' is a Killing vector field (see [10]), and thus Φ'_U is a quasi-isomorphism. One can check directly that ϵ is an isomorphism, and as $\Phi'_U \circ \epsilon = \Phi_U$, the proof is complete. \square

From (5) and (7), we get a quasi-isomorphism $(\Omega^*(M/\mathcal{F}), d_\kappa) \xrightarrow{\Phi_\kappa} (\Omega^*(M)/\Omega^*(M/\mathcal{F}), d)$ given by $\Phi_\kappa(\omega) = \overline{\chi \wedge \omega}$. A direct consequence is the following:

THEOREM 1 (Gysin Sequence). – *Let \mathcal{F} be a Riemannian flow on a closed manifold M , and choose a metric μ such that the mean curvature form κ is basic. Then, we have the following long exact sequence:*

$$\dots \longrightarrow H^i(M/\mathcal{F}) \xrightarrow{\iota^*} H^i(M) \xrightarrow{(\Phi_\kappa^*)^{-1} \circ \rho^*} H_\kappa^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[\epsilon]} H^{i+1}(M/\mathcal{F}) \longrightarrow \dots, \quad (5)$$

where the connecting morphism is, up to sign, multiplication by the Euler class $[\epsilon] \in H_{-\kappa}^2(M/\mathcal{F})$.

The sequence above is called the *long Gysin sequence*. In the case of an isometric flow, we can choose a metric such that the mean curvature form vanishes. So, (5) generalizes (1).

Remark 1. – The long Gysin sequence does not depend on the metric μ .

Remark 2. – Combining the quasi-isomorphism Φ_κ and the duality result of [7], we obtain that the terms $E_2^{i,1}$ and $E_2^{i,0}$ in the spectral sequence of a Riemannian flow are dual. This has been proven with more generality in [1] using analytic techniques, different from our geometric approach.

4. Vanishing of the Euler class

The Euler form ϵ vanishes if and only if the orthogonal complement of \mathcal{F} is involutive. These equivalent conditions have been weakened in [9] for an isometric flow. In that case, the Euler class $[d\chi] \in H^2(M/\mathcal{F})$ is an invariant of the foliation, and its vanishing is equivalent to the presence of a fibration transverse to \mathcal{F} . For a Riemannian flow, we prove that the vanishing of the Euler class is equivalent to the existence of a foliation supplementary to \mathcal{F} with an extra condition. Notice first that the Euler class $[\epsilon] \in H_{-\kappa}^2(M/\mathcal{F})$ does not depend on the metric in the sense of the following:

PROPOSITION 3. – *Let μ_1 and μ_2 be two bundle-like metrics with basic mean curvature forms κ_1 and κ_2 . Consider the canonical (up to a multiplicative positive constant) isomorphism*

$$\varphi : H_{-\kappa_2}^2(M/\mathcal{F}) \longrightarrow H_{-\kappa_1}^2(M/\mathcal{F}), \quad (6)$$

given by $\varphi([\omega]) = [e^f \omega]$, where $df = \kappa_2 - \kappa_1$. Then, $\varphi[\epsilon_2]$ and $[\epsilon_1]$ are proportional. In particular, the vanishing of the Euler class does not depend on μ , but just on \mathcal{F} .

Proof. – With the same techniques used in Proposition 2, we can construct a quasi-isomorphism $(\Omega^*(M/\mathcal{F}) \oplus \Omega^{*-1}(M/\mathcal{F}), D^\circ) \simeq (\Omega^*(M), d_{-\kappa})$, with differential $D^\circ(\alpha, \beta) = (d_{-\kappa}\alpha + \epsilon \wedge \beta, -d\beta)$, for every basic mean-curvature form κ . This leads us to the *twisted Gysin sequence*:

$$\dots \longrightarrow H_{-\kappa}^i(M/\mathcal{F}) \longrightarrow H_{-\kappa}^i(M) \longrightarrow H^{i-1}(M/\mathcal{F}) \xrightarrow{\wedge[\epsilon]} H_{-\kappa}^{i+1}(M/\mathcal{F}) \longrightarrow \dots$$

The isomorphism (6) induces a chain morphism between the twisted Gysin sequences for μ_1 and μ_2 , yielding the result. \square

Let \mathcal{G} be a foliation transverse to a nonsingular vector field X defining \mathcal{F} . There is a unique 1-form ω determined by $i_X \omega = 1$ and $\ker \omega = T\mathcal{G}$. We mean by a *torsion* of \mathcal{G} respect to X a 1-form τ such that $d\omega = \omega \wedge \tau$. Note that if a torsion is basic for \mathcal{F} , then it must be $L_X \omega$.

THEOREM 2. – *For a Riemannian flow \mathcal{F} , the following conditions are equivalent:*

- (i) *the Euler class vanishes;*
- (ii) *there exists a foliation \mathcal{G} transverse to \mathcal{F} , with basic torsion.*

Proof. – Assume (i), and take $\gamma \in \Omega^1(M/\mathcal{F})$ such that $d_{-\kappa}\gamma = \mathbf{e}$. Then, $\omega = \chi - \gamma$ is integrable, defining a foliation \mathcal{G} that satisfies (ii). For the converse, pick a bundle-like metric μ and construct $\nu = \omega \otimes \omega + \mu_{\mathcal{G}}$. Then, ν is bundle-like, $\kappa_{\nu} = L_X\omega$ is basic, and $\mathbf{e}_{\nu} = 0$. \square

Remark 3. – For a Riemannian flow, the Álvarez class and the Euler class are independent.

Remark 4. – The vanishing of the Euler class of \mathcal{F} implies the vanishing of the Godbillon–Vey class of the transverse foliation \mathcal{G} described in Theorem 2.

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