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KEYNOTE LECTURES



CODE 98

HOW ARE HEAT WAVES PUTTING AT RISK HISTORIC URBAN AREAS? FIRST STEPS FOR DEVELOPING RISK ASSESSMENT METHODOLOGIES

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ABSTRACT

It is worldwide accepted that climate change is affecting cities and that the conservation of the cultural heritage contributes to sustainable development. However, despite the high level of interest and research in climate-change risks, a holistic understanding of the risk that heat waves and heat urban island phenomena present to urban cultural heritage is noticeably absent from literature. Aside from understanding the impacts that heritage faces with climate change, the consideration of loss of heritage and cultural values and assets due to extreme heat waves will promote the resilience and sustainability of both social and built environmental systems.

The aim of this paper is double, first to identify key performance indicators for risk assessment methodologies that address both the elements of historic urban areas as a system and the potential impact of prolonged heat waves. Second, this study aims at developing a categorization for both buildings and urban spaces within historic urban areas regarding their vulnerability to heat waves, which will be the basis for further risk assessment. For this twofold purpose, the interaction between urban spaces, heat waves, and the urban heat island is addressed as well as the vulnerability and behaviour of traditional materials and building typologies.

To define the elements that conform historic urban areas, the system is addressed from two perspectives, as an urban system, and as a historic area. At the same time, for a holistic approach, every element of the system is assessed, distinguishing between socio-economic, cultural, governance (services and resources) and physical (gathering tangible characteristics of all infrastructures, elements and buildings) aspects. The indicators and categorization are, therefore, essential for the evaluation of the impacts of heat waves and the urban heat island on the elements that conform the system of the historic urban area.

KEYWORDS: Historic Urban Areas; Climate Change; Risk Assessment; Vulnerability; Key Performance Indicators.

1. INTRODUCTION AND OBJECTIVE

Global warming continues to increase, according to reports from the World Meteorological Organization (WMO) [1] the 21st century is now the warmest on record. This ongoing rise in global mean temperature is increasing the risk and frequency of extreme events, with one of the main threats being heat waves [2]. Maximum temperatures are recorded in and around urban areas [3], were now resides over half of the world's population. With most of the world's population predicted to be living in the largest urban agglomerations (based on their 2025 populations) [4], they will be exposed to a minimum temperature rise of $2 \,^{\circ}$ C above pre-industrial levels, excluding urban heat island effects.

To ensure a resilient future, the most recent special report from the Intergovernmental Panel on Climate Change (IPCC) [3] identifies urban areas and infrastructure as one of the systems in need of mayor transformation. Heat waves can adversely the health of urban residents, their assets, wellbeing and livelihoods, as well as ecosystems and infrastructure within urban areas [5] and is expected for climate to aggravate heat wave events, with a rise of their frequency, duration and intensity [3]. Population in urban areas are very vulnerable to extremes in heat and relative humidity, and heat wave events can increase mortality rates. Even when being under the same climatic conditions, cities have specific microclimates resulting in heat-island effects, when compared to the surroundings countryside [6]. Specific urban characteristics like low permeability of the soil, higher population densities, higher concentrations of productive activities, and materials differentiate their response to the changing climate.

Historic urban areas are very relevant as the source of cultural identity, and important economic assets and have very specific characteristics that differentiates them when facing extreme events [7]. These distinct vulnerabilities are because of not only physical characteristics of the built and tangible assets, but also related to the complete cultural landscape, such as specific population patterns, tourism, or traditional socio-economic activities. Moreover, over the past decade, climate change has become a central focus for relevant heritage organizations such as ICOMOS and UNESCO [8,9]. Consequently, a priority for future policy and research is to assess the risk faced by historic urban areas with respect to climate change and the related extreme events.

The objective of this paper is twofold, to identify key performance indicators for heat wave impacts on historic urban areas, and to develop a categorization for the elements of a historic urban area according to their vulnerability. Therefore, the paper is divided into three sections, the first sets the framework for the research work, setting goals and developing a methodological approach, in the second part key performance indicators for risk assessment methodologies are identified that address both the elements of historic urban areas as a system and the potential impact of prolonged heat waves. In the final part, a categorization is proposed for both buildings and urban spaces within historic urban areas regarding their vulnerability to heat waves.

2. FIRST STEPS FOR A RISK ASSESSMENT METHODOLOGY

2.1. Framework and methodological approach

Heat wave vulnerability assessment for historic areas is noticeably missing from literature [10]. This fact motivated the present study with the goal of assessing vulnerability of historic urban areas by considering their complexity as physical and socioeconomic entities and provide the framework for a risk assessment methodology. With this motivation, the goal for this paper is to develop the first steps for the development of a holistic and multi-criteria methodology for risk assessment of historic urban areas towards heat waves. The future methodology should aim at identifying vulnerabilities and risks in historic areas with a holistic perspective, providing support for the prioritization for future adaptation actions.

The work carried out for this research is done with a multi-scalar approach, considering both the building scale and the urban space. Both de development of indicators and the characterization not only consider the singularities of historic areas, but all of all urban areas to promote integration at city scale. The assessment should start defining the objectives (why), system being analysed (who/what; in this case the historic urban system), the stressors (to what; for us, heat waves) and the time horizon (when) [11,12]. Individual or composite indicators are the foundation for vulnerability and risk assessment; used to generate information about non-measurable conditions and comparing different measured data [13].

Heat waves are characterized differently depending on the climate of the region, but for a general definition the WMO guidance on heat-health warning (WMO-No.1142) defines heatwaves as periods of unusually hot and dry or hot and humid weather that have a duration of at least two to three days and a discernible impact on human activities [14]. Following this definition, heat waves are characterized by the use of two main indicators, temperature and relative humidity (RH); distinguishing between dry humid heat waves [15]. Hence, the effects of high heat and low or high humidity on the elements that conform the system of a historic urban area must be the origin for the development of the indicators, including the variables that conform exposure.

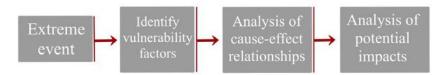


Figure 1. Development of indicators (Adapted from [16])

The studies carried out on urban heat island phenomenon show that the morphological layout of an urban area that affects shading and ventilation, combined with the technical and characteristics of urban elements and the type and distribution of green spaces are the main factors influencing its intensity [17]. This is due to the way urban geometry and materials influence wind flow, energy absorption, and the ability of surfaces to release long wave radiation back to space [18].

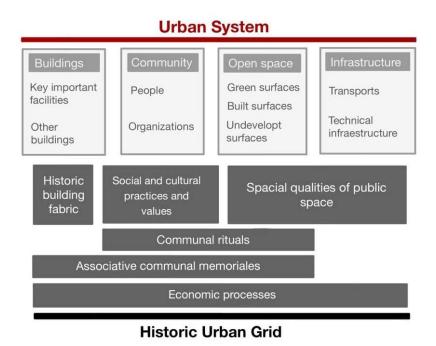


Figure 2. Framework for the analysis of historic urban areas

To define the elements that conform historic urban areas, we needed to address the system from two perspectives, as an urban system, and as a historic area. The indicators derive mainly from literature review, either from and urban perspective, or weathering of historic materials, following the approach from figure 1.

As shown in figure 2, the historic urban area is considered as a combination of an urban system [19] with the addition of carriers of significance in historic urban landscapes [20], liking each carrier to the element of the urban system. To provide a more holistic approach, every element of the system need to be assesses, distinguishing between social and economic, cultural, governance (services and resources) and physical (gathering tangible characteristics of all infrastructures, elements and buildings)

3. KEY PERFORMANCE INDICATORS

Consequently to the groundwork explained in the previous section of this paper, the indicators are a result of characterizing the potential impacts of heat waves and the urban heat island on the elements that conform the historic urban area. From this approach, combined with the AR5 framework from the Intergovernmental Panel on Climate Change (IPCC), the impact chain would be as shown in figure 3 and the indicators divided into hazard, exposure and vulnerability.

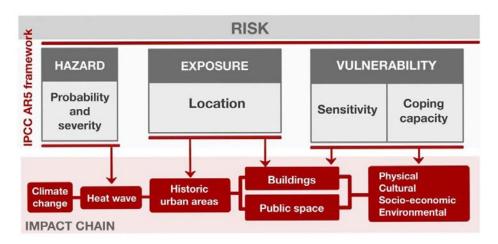


Figure 3: Relation between IPCC AR5 framework for risk assessment and the proposed impact chain for historic urban areas.

Beginning with the characterization of heat waves as the hazard, the main parameters to take into consideration will be temperature, humidity and sun exposure (short-wave solar radiation). As a consequence of the way the different variations in humidity can affect traditional materials, humidity cycles and shocks need to be taken into consideration [21].

For exposure, in regards to the buildings, sun exposure is the main indicator. Therefore, the orientation of the building exposure of the different façades to the sun and shading of the surrounding buildings have to be taken into consideration along with the number of daily hours during which the envelope is hit by solar radiation. At the same time, for open public spaces urban heat island indicators such us the Sky View Factor and Normalized Difference Vegetation Index have to be consider. Sky view factor is main parameter in determining the phenomenon known as urban canyon [18], it indicates the proportion of an urban space related to heat storage [22] and Normalized Difference Vegetation Index (NDVI) uses satellite images to quantify vegetation. Along with these two main indicators, tropospheric Ozone (O3) levels and acoustic pollution appear in literature linked to heat. Research shows an increase in ozone levels under conditions such as high temperature, intense solar radiations, and long sunshine hours, all specific meteorological characteristics linked to heatwaves [23], with high ozone levels being dangerous for human health [24]. In the case of acoustic pollution, heat storage inside buildings can be higher in high street noise areas because of inhabitants tending to ventilate less[25].

Finally, sensitivity indicators are divided between buildings, and open spaces. Starting with the buildings, their sensitivity tackles four main areas, social, physical, economic, and cultural sensitivity. Social characteristics as population density, age group and socioeconomic data are the main indicators for social sensitivity. In regards to their physical sensitivity, the possible weathering of sensitive traditional materials under heat wave conditions [21] linked to their construction date and style, and the thermal characteristics of the materials, like albedo or thermal diffusivity provide the main indicators. The indicators for economic sensitivity are based on the use of the building, considering either its productive use, residential, public etc., or because of touristic importance. In relation to cultural sensitivity, the link of the building either to communal memories or to rituals or traditions are the proposed indicators. When considering the sensitivity of public spaces to heat waves, the indicators are proposed divided among its physical characteristics (mainly albedo), its use, and its cultural value, mainly its link either to communal memories or to rituals and traditions.

Coping capacity indicators are divided in social, building, and public space. For the assessment of social coping capacity healthcare access (distance to hospital and availability of hospital beds), and awareness of the population to the hazard, like the existence of emergency plans are considered. In the case of buildings and open public spaces, accessibility is the main parameter considered, with the existence of elevator in the case of buildings, and accessibility for ambulances and firefighters in the case of open spaces, as the main indicators.

4. CATEGORIZATION OF HISTORIC URBAN AREAS

Categorization is a very relevant step in a risk assessment methodology when it comes to its replicability. For the analysis of a large and varied stock of buildings and public spaces such as an urban area, a statistical approach may be used, with the aim to describe all the assets using archetypes o sample assets. Sample assets refer to real elements of the area that include measured data, on the other hand, archetypes include estimated statistical data [26,27]. The methodology for the categorization of an area will be, therefore, a statistical distribution of the characteristics of both buildings and open spaces, starting from samples and then extrapolating these results to elements of the same category, thereby obtaining an overall vulnerability assessment for the historic area. With this goal in mind, the objective is to create a limited number of samples that are able to represent almost the entire building stock and public space of the historic urban area considering the limitations imposed by data availability. These categories should reflect the vulnerabilities of both buildings and public space to heat waves, considering their cultural value, use, social and constructive characteristics following the approach used for the development of the indicators. Furthermore, data should be as widely available as possible, in order to build a replicable model for different case studies [28][29]. Hence, for the step of the generation of the categories, the characteristics of the urban are and the concentration of different values is very relevant.

It is important to consider the equilibrium between the amount of categories and their representativeness considering the relevance of the indicators. Once the categories are developed, a minimum threshold is set to discard the less representative ones. Depending on the size and characteristics on homogeneity that the area presents, the threshold should be set between the 2% and 5% for the best results [29].

According to the above-mentioned requirements, for buildings the categorization will be based on their following parameters divided between physical sensitivity, use, cultural value and social sensitivity.

- Physical sensitivity: This is compose of the correlation of year of construction (divided into construction periods that have similar construction techniques/materials, and consequently, the same vulnerability to heat waves) and state of conservation
- Use: for this category the buildings main use is considered, distinguishing between residential, industrial, public use and touristic use, while adding relevant added characteristics such as commercial use, the existence of touristic apartments in, or the sensitivity of the public use to heat wave conditions.

- Cultural value: for cultural value the main category is the protection level of the buildings with the addition of if it is linked or not to communal memories or traditions.
- Social sensitivity: population density is the main category in this area, subdivided considering the percentage of population older than 65.

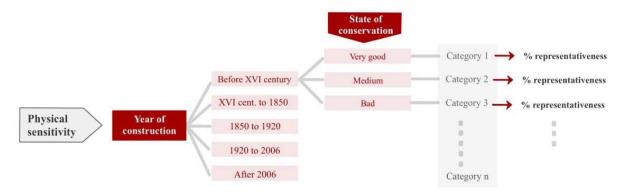


Figure 4: Example of the categorization process for buildings regarding physical sensitivity.

For the categorization of public space, the following parameters were chosen following physical sensitivity, cultural value and environmental characteristics:

- Physical sensitivity: this main category for public space if created with three subdivisions, starting with the Sky View Factor to predict the possible street canyons, then adding NVDI levels to measure the amount of vegetation and adding the albedo of the spaces as the last characteristic.
- Cultural value: for cultural value the relevance of the space for the live in the area is considered, then adding if it is linked or not to communal memories or traditions.
- Environmental characteristics: at last, this category considers the density or scale of the space, distinguishing between main and secondary streets, subdivided considering the existence of traffic or if it is pedestrian only.

5. CONCLUSIONS

Heat wave vulnerability assessment for historic areas is a noticeable gap in research and climate change risk assessment is a very relevant and necessary topic to ensure a resilient future for cities. The present study presents the first steps for the development of a holistic and multi-criteria methodology for risk assessment of historic urban areas towards heat waves. Further work for the development of a full methodology should identify risks in historic areas with a holistic perspective, as a tool for the prioritization for future conservation and adaptation actions.

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